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Bios of Panelists, Speakers and Moderators

1. Emil Assentato

Chairman & CEO Tradition (North America) Inc.



In 1973, Emil Assentato started his career on Wall Street at M.W. Marshall Inc., a London- based interdealer broker as a euro-dollar deposit broker. Marshalls was a firm focusing on the over-the-counter space in Foreign Exchange and Euro-Dollar deposits. In his tenure at M.W. Marshalls, he executed the first Future Rate Agreement (FRA, at the time it was considered an over-the-counter Eurodollar futures contract) in North America, and was one of the first OTC Brokers to put counter-parties together in the burgeoning Interest Rate Swaps market. In his last years at Marshalls, he was Director of Capital Markets in NY. In 1986, Emil joined Tradition North America (TNA) as head of money markets and short-term interest rate derivatives. TNA was also an OTC inter-dealer broker focusing on interest rates, which was part of Compagnie Financiere Tradition (Tradition) headquartered in Lausanne Switzerland.

He currently is the Chairman of Standard Credit LLC; Chairman and President of FXDD; and CEO of Tradition North America and Tradition Asiel Securities. All are OTC firms with regulated components.

2. Jeremy Barnum

Head of Market Structure, JP Morgan

Jeremy Barnum is a Managing Director at JP Morgan where he is Chairman of the Market Structure Executive Committee, a cross-asset class team of senior business leaders charged with defining JP Morgan's internal and external response to regulatory reform and the associated changes in market structure. He is also a member of the Global Credit Trading management team.

He first joined JP Morgan in 1994 after graduating from Harvard College with a degree in chemistry. Between 1994 and 2004, Jeremy traded derivatives in Foreign Exchange, Emerging Markets and North American Credit, eventually becoming co-head of North American Credit Trading. He then spent three years at Blue Mountain Capital Management, a credit-oriented hedge fund, where he was a partner and head of the London office. Jeremy re-joined JPMorgan in 2007.

3. Dr. John Bates

Senior Vice President, Progress Software



Dr. John Bates is Chief Technology Officer at Progress Software. In this role Bates is responsible for leading the team that creates and evolves the Progress vision and strategy, leads market research and due diligence for acquisitions to support the strategy, and evangelizes the Progress strategy and solutions to customers, partners and other constituencies.

A recognized visionary and entrepreneur in the software industry, Dr. Bates has a strong track record of innovation in areas such as event-driven architectures, smart environments and real-time computing. In particular, Dr. Bates is recognized as one of the foremost driving forces behind the emergence of Complex Event Processing (CEP) and has pioneered the commercial use of event processing in business solutions. Dr. Bates also led the initiative to develop the Progress® Responsive Process Management strategy - bringing together solutions including Business Process Management (BPM) and CEP to deliver responsive business operations to industries including financial services, telecommunications, airline operations and logistics. Dr. Bates holds a PhD in computer science from Cambridge University and was a tenured faculty member there until 2000.

4. Shawn Bernardo

WMBAA Chairman and senior managing director, Tullett Prebon



Mr. Bernardo is a Senior Managing Director of eBroking in the Americas and sits on the North American executive committee at Tullett Prebon. Tullett Prebon is a leading global inter-dealer broker of over the counter securities. Subsequent to joining Tullett in 2006, he has spent the past five years building various electronic and hybrid platforms to promote more efficient markets in Fixed Income, Energy, Credit, FX Options and Rates. He is involved with all strategic investments and partnerships for the electronic business.

Mr. Bernardo started his career in institutional brokerage in 1996 with Cantor Fitzgerald brokering US Treasuries. Mr. Bernardo moved off the trading desk to utilize his experience and knowledge of the US

Treasury market in the further development and launching of the eSpeed electronic system. In 2003 Mr. Bernardo moved to ICAP and was responsible for successfully developing the fixed income market share on the BrokerTec electronic platform and later was given responsibility and successfully launched their electronic CDS Index platform in Asia and New York. Mr. Bernardo, a graduate of St. Johns University New York, was a board member of the ConfirmHub and a founding board member and the current Chairman of the Wholesale Market Brokers Association, Americas.

5. Grant Biggar

President, Creditex/Intercontinental Exchange



Grant Biggar is President of Creditex, a wholly-owned subsidiary of IntercontinentalExchange (NYSE: ICE). Creditex is a global market leader and innovator in the execution and processing of credit default swaps (CDS). Headquartered in New York City and serving traders at the world's leading financial institutions through its hybrid voice and screen model, Creditex developed the first electronic trading platform for credit derivatives in the inter-dealer broker market and has received numerous awards for technology and outstanding service to the industry.

Mr. Biggar was appointed President of Creditex in January 2010 and has over a decade of experience working within the CDS market. Mr. Biggar joined Creditex in 2000 as one of its first employees and was responsible for establishing the company's office in Asia before moving to London in 2002 and serving as head of Creditex's European operations. He led the launch of e-trading in the European CDS market and built Creditex's European business into one of the top CDS inter-dealer brokers. Mr. Biggar earned a Bachelor of Commerce from Auckland University, New Zealand and is a Qualified Accountant and member of the New Zealand Institute of Chartered Accountants.

6. Jamie Cawley

CEO, Javelin Capital Markets



Mr. Cawley is CEO of Javelin Capital Markets, an electronic trading venue for credit default and interest rate swaps. Javelin executed one of the markets first fully Dodd Frank compliant trades in August 2010. Javelin will register as a SEF, once the rules are promulgated.

Mr. Cawley is a founder of the Swaps & Derivatives Market Association. The SDMA is an association of several dealers and clearing brokers that advocate successful OTC derivative clearing, open access and transparency. Mr. Cawley has testified several times before

the House and Senate Banking Committees with regard to the Dodd Frank Act. Mr. Cawley has 20 years derivatives sales and trading experience. Most recently, Mr. Cawley ran a credit derivative interdealer broker called IDX Capital. A graduate of the University of Pennsylvania, Mr. Cawley began his career in the credit and derivatives markets working for Salomon Brothers, Lehman Brothers and Bank of America.

7. Hon. K. Michael Conaway (R-Tex 11)

Chairman, House Subcommittee on General Farm Commodities and Risk Management



Serving in his fourth term in the U.S. House of Representatives, Congressman Mike Conaway has earned the reputation as “a voice of reason” in Washington. Mike represents the 11th Congressional District of Texas consisting of 36 counties in Central and West Texas including Midland, Odessa and San Angelo.

Mike’s background as a certified public accountant (CPA) has given him the credibility to be a vocal proponent of reducing the national debt. Mike currently serves on the House Agriculture, Intelligence, Armed Services, and Ethics Committees. On the Agriculture Committee, Mike serves as Subcommittee Chairman of the General Farm Commodities and Risk Management, which has oversight of the Commodity Futures Trading Commission (CFTC). A native Texan, Mike grew up in Odessa and played football at Permian High School. He earned a BBA degree in Accounting from Texas A & M University-Commerce in 1970. After serving in the Army at Fort Hood, Mike resumed his career with Price Waterhouse & Co. He settled in Midland where he later worked with George W. Bush as the Chief Financial Officer for Bush Exploration. Mike is an ordained deacon in the Baptist Church, he is married to Mrs. Suzanne Conaway of Midland and together they have four children and seven grandchildren.

8. Athanassios Diplas

Managing Director, Deutsche Bank



Athanassios Diplas is a Managing Director and Global Head of the Systemic Risk Management Group. The group is in charge of the systemic risk issues and market structure initiatives in the global derivatives markets.

Mr. Diplas currently co-chairs the ISDA Industry Governance Committee and the Credit Derivatives Steering Committee. He also served on the Market Resiliency Working Group of the Counterparty Risk Management Policy Group III. Until recently, Mr. Diplas was the Global Head of the Counterparty Portfolio Management Group and prior to that he was the Chief Risk Officer/Deputy COO of Global

Credit Trading. Before joining Deutsche Bank, Mr. Diplas worked at Goldman, Sachs & Co as an emerging markets credit derivatives trader and also managed the counterparty risk trading book for the credit derivatives business. Mr. Diplas holds an M.B.A. from the Wharton School of the University of Pennsylvania and a Ph.D. and M.Sc. in Astronomy from the University of Wisconsin-Madison. He received his B.Sc. in Physics from Aristotle University of Thessaloniki in Greece.

9. Scott Eckel

Senior Policy Advisor, Chairman Scott Garrett, Subcommittee on Capital Markets and GSEs

Coming

10. Chris Ferreri

WMBAA Vice Chairman, Managing Director, ICAP



Chris is a Managing Director at ICAP, currently managing the product design, development and deployment for ICAP's hybrid electronic and voice trading systems for the securities and derivatives markets in the US. After receiving his B.E. in Electrical Engineering from Stevens Institute of Technology, he began his career as an Engineer for E. I. DuPont de Nemours. In 1984, Chris joined Garban's U.S. Treasury division, and is currently registered with FINRA.

Chris has participated in numerous panel discussions with the Bond Market Association (now SIFMA) and ISDA, as well as presentations in financial markets conferences in London, Mexico, South America, Tokyo and Korea. Chris is the Chair of the Securities Industry and Financial Markets Association Interdealer Broker Advisory Committee, served as the inaugural Chairman of The Wholesale Markets Brokers' Association, Americas. He is a Board member of the Stevens Institute of Technology School of Systems and Enterprises. Chris has testified before the US House of Representatives Financial Services Committee as well as the House Subcommittee on Capital Markets.

11. Pimm Fox

Bloomberg Radio and TV host of "Taking Stock with Pimm Fox"



Pimm Fox is a Bloomberg Television anchor and Bloomberg Radio host of the in-depth investment program, "Taking Stock with Pimm Fox", which airs weekdays on Bloomberg Radio and Bloomberg Television. Fox also co-hosts Bloomberg BusinessWeek Radio with Ken Prewitt on Bloomberg Radio.

As the host of Taking Stock, Fox provides unique access into the world of professional investing through conversations with top Wall Street

analysts, fund managers and CEOs. Taking Stock offers insight into how company news, long-term strategy, trading tactics and market techniques impact stocks, bonds and commodities. Pimm Fox joined Bloomberg in 2006, after overseeing financial services coverage for the Dow Jones News Service in London. He also served as a guest commentator for CNBC and the BBC and was published in many leading news outlets. Fox has also served as director of the Charles Schwab Smart Investor website, as well as West Coast bureau chief for Computerworld magazine. Based in New York City, Pimm Fox received a bachelor's degree in architecture from Columbia University. He penned the "hometown news" while serving in the U.S. Navy.

12. Hon. Scott Garrett (R-NJ5)



For more than twenty years, Scott Garrett has been at the forefront of public policy deliberations dealing with issues related to the financial services industry, developing considerable expertise in areas ranging from securities and finance to insurance and regulatory oversight.

Since his election to Congress in 2002, Garrett has consistently been one of the most active members of the House Financial Services Committee. During his tenure on the committee, Garrett has served on the Subcommittees on Capital Markets, Insurance, and Government-Sponsored Enterprises; Financial Institutions and Consumer Credit; Housing and Community Opportunity; and Oversight and Investigations. At the start of the 112th Congress, Garrett was selected to serve as the Chairman of the Subcommittee on Capital Markets and Government-Sponsored Enterprises. In this role, Garrett oversees the subcommittee with jurisdiction over the Securities and Exchange Commission and government-sponsored enterprises Fannie Mae and Freddie Mac. A primary architect of the comprehensive House Republican financial regulatory reform proposal, Garrett authored substantive Republican alternatives in a number of areas, including derivatives and GSE reform.

13. Hon. Gary Gensler

Chairman, U.S. Commodity Futures Trading Commission



Gary Gensler was sworn in as the Chairman of the Commodity Futures Trading Commission on May 26, 2009. Chairman Gensler previously served at the U.S. Department of the Treasury as Under Secretary of Domestic Finance (1999-2001) and as Assistant Secretary of Financial Markets (1997-1999). He subsequently served as a Senior Advisor to the Chairman of the U.S. Senate Banking Committee, Senator Paul Sarbanes, on the Sarbanes-Oxley Act, reforming corporate responsibility, accounting and securities laws.

Prior to joining Treasury, Chairman Gensler worked for 18 years at Goldman Sachs, where he was selected as a partner; in his last role he was Co-head of Finance. Chairman Gensler is the co-author of a book, *The Great Mutual Fund Trap*, which presents common sense investment advice for middle income Americans. He is a summa cum laude graduate from the University of Pennsylvania's Wharton School in 1978, with a Bachelor of Science in Economics and received a Master of Business Administration from the Wharton School's graduate division in 1979. He lives with his three daughters outside of Baltimore, Maryland.

14. Chris Giancarlo

Conference Chair and Executive Vice President, GFI Group Inc.



Mr. Giancarlo is responsible for executing strategic transactions and directing corporate communications. Mr. Giancarlo joined GFI in 2001 as part of the acquisition of Fenics, where he structured strategic alliances with major investment banks. Prior to Fenics, Mr. Giancarlo was a Corporate Partner in the New York law firm Brown Raysman Millstein Felder & Steiner, LLP.

15. Jeff Gooch

CEO, MarkitSERV



Prior to the launch of MarkitSERV, Jeff served as executive vice president, global head of Portfolio Valuations and co-head of Global Trade Processing for Markit. Throughout his career, Jeff has worked in various product areas including cash equity, bonds, over-the-counter (OTC) derivatives, loans and collateral. Prior to joining Markit, Jeff spent 11 years at Morgan Stanley in a variety of roles including running its straight-through processing (STP) and line operations groups. His last role was as managing director and global co-head of Fixed Income Operations. He has been extensively involved in industry groups and is a former co-chair of ISDA's operations committee. Prior to Morgan Stanley, Jeff spent eight years in the financial services practice at Ernst & Young, in both its audit and consultancy divisions. Jeff holds an MA in mathematics from Cambridge University and is a chartered accountant (ICAEW.)

16. Michael Gooch

Chairman and CEO, GFI Group Inc.



Mr. Gooch founded the business of GFI Group Inc. in 1987 and has been GFI's Chairman and Chief Executive Officer since its inception in 1987. Prior to this, Mr. Gooch worked for Citibank, Refco Group, Bierbaum Martin, Harlow Meyer Savage and Tullet & Tokyo Forex. Mr. Gooch is the President and majority shareholder of Jersey Partners, the largest shareholder of GFI.

Mr. Gooch is a member of the Board of Trustees of Monmouth University in New Jersey and a Board Member of the Count Basie Theatre Foundation, Red Bank, NJ. In 2007, Global Capital Associates honored Mr. Gooch with the Theodor Herzl award, which salutes 'people who had a vision to build new enterprises and movements and change existing paradigms; people with the courage to do something different.' For his support of PAX, a non-profit organization working to end gun violence against children and families in the U.S., Michael Gooch and his wife, Diane were honored in 2006.

17. Micah Green

Partner, Patton Boggs



Micah Green has a full range of experience in market and industry leadership, crisis management, and legislative and regulatory advocacy. He brings together a deep understanding of the policy and political environment with decades of representing the players and products in the financial markets throughout the United States and globally, specifically excelling in the area of government policy risk assessment and management.

Most recently, Mr. Green was President and Co-CEO of the Securities Industry and Financial Markets Association, which was formed by a merger between The Bond Market Association and the Securities Industry Association. Mr. Green served as President and CEO of The Bond Market Association for seven years at the time of the merger. Mr. Green also worked in the public sector, working on Capitol Hill for seven years, including serving as Staff Director of a subcommittee that had jurisdiction of numerous civil service-related issues.

18. Julian Harding

Executive Director, Tradition

Julian Harding is an Executive Director at Tradition, and is also a founding Board member of the Wholesale Markets Brokers' Association, Americas. He has more than thirty years' experience in various over-the-counter markets. His career began as a foreign exchange and deposits dealer at Société Générale in London in 1978,

followed by a period at Marine Midland Bank in New York where he both consulted on matters of international treasury management and became one of the earliest traders in and designers of the nascent FX options market. In 1985, he founded Tradition Financial Services in 1985, at the time the world's first specialist over-the-counter derivatives brokerage, and later spanning the globe with offices in 13 countries and brokering markets in FX derivatives, precious metals, equity derivatives and the energy spectrum. After 20 years as co-CEO, Mr. Harding retired from the derivatives business, to return in 2008 as a general consultant to the Tradition Group with a current focus on legislative, regulatory and strategic e-commerce matters.

19. George Harrington

Global Head, Fixed Income Trading, Bloomberg Inc.



George Harrington is the global head of Bloomberg's Fixed Income Trading (FIT) business, the world's largest and most widely used fixed income trading platform. In this position, he is responsible for overall strategy and delivery of FIT, which provides liquidity for more than 150 of the world's leading major and regional/specialist dealers. FIT also provides trading functionality, and straight through processing across all fixed income asset classes including cash bonds, repo, money markets, interest-rate and credit derivatives, mortgages, money markets, and municipal bonds.

Previously, Mr. Harrington was head of Product Management for Bloomberg's Fixed-Income Derivative Trading business, overseeing single and multi-dealer execution services, clearing and legal execution services, and VCON – Bloomberg's Voice trading network. Prior to joining Bloomberg, he worked at Tradeweb managing the credit default swap execution business and at PriceWaterhouseCoopers where he worked in corporate valuation. Mr. Harrington holds a Master's degree in Business Administration from Boston College and is a Chartered Financial Analyst.

20. James J. Hill

Managing Director, Morgan Stanley



James J. Hill is Managing Director at Morgan Stanley in New York and Morgan Stanley's Global Credit Derivatives Officer. Jim is leading Morgan Stanley Global Corporate Credit Group's efforts around the development of central counterparties for over-the-counter credit derivatives, responding to, and developing business strategies relating to, legislative and regulatory changes impacting the over-the-counter derivatives markets, and developing market infrastructure solutions to reduce operational and systemic risk in the over-the-counter derivatives markets.

Jim has led Morgan Stanley's efforts with respect to numerous industry initiatives relating to the development, growth and overall improvement of the over-the-counter derivatives and corporate credit default swaps market and is a key member of several important industry working groups, including the European Commission Working Group on Derivatives, and The Federal Reserve Bank of New York Working Group on Buy-Side Access to Central Counterparties and the International Swaps and Derivatives Association Credit Steering Committee. Jim received a J.D. from Cornell University in 1991 and a BA from American University in 1988.

21. Paddy Hirsch

Senior Editor, Marketplace



Paddy Hirsch is a senior editor at Marketplace. He is the creator and host of the Marketplace Whiteboard, a video explainer of financial and economic terms, and the writer and co-producer of Marketplace Crisis Comix, a comic strip chronology of the 2008 Financial Crisis and resulting recession. He also edits the Marketplace Index and the Marketplace Pulse.

Paddy joined Marketplace as the New York and financial markets editor in 2007. Previously, he was a senior editor at Leveraged Commentary and Data, a newswire owned by Standard and Poor's that covers the syndicated finance, CLO and loan-backed CDS markets. He joined S&P in 2001 from Direct Capital Markets, an online early-stage investment company. Prior to joining DCM, he edited the Institutional Investor newsletter, Bank Letter, which covered the senior leveraged loan markets. Paddy has been a journalist for more than 13 years, and has worked in Hong Kong as field producer for CNBC, in Vietnam as the editor of the Vietnam Economic Time, and in Sarajevo as a consultant to the Open Broadcast Network in Bosnia. Before starting his journalism career, he served for eight years as an officer in the Royal Marines.

22. Jeff Hogan

Managing Director, BGC Partners



As Managing Director for Business Development at BGC, Mr. Hogan is responsible for ongoing business development and relationship management with clients in Europe and for liaising with government and regulatory bodies globally. Based in London, he has engaged in sales, product development and strategic development issues since the formation of BGC in October 2004.

Prior to his role with BGC, Mr. Hogan spent 19 years at Cantor Fitzgerald and eSpeed, Inc. in New York and London. During this period he managed several derivative and cash brokerage units and was Managing Director of e-Commerce at Cantor Fitzgerald. In addition, he was heavily involved in sales, product development and merger & acquisition activity at eSpeed. Before joining Cantor Fitzgerald and eSpeed, Mr. Hogan served in various trading and management positions for four years at Bankers Trust Company in New York and for five years at Manufacturers Hanover Trust in London. Mr. Hogan contributes regularly to conferences in Europe, Asia and North America on topical matters in the fixed income, derivatives, and e-Commerce industries. In addition to representing BGC at ISDA, SIFMA, WMBA and European Covered Bond Council, Mr Hogan has recently served on the European Commission's Derivatives Working Group.

23. Chris Iacovella

Counsel to the House Financial Services Committee and Subcommittee on Capital Markets and GSEs



Chris Iacovella works as Special Counsel & Policy Advisor to Commissioner Scott O'Malia at the U.S. Commodity Futures Trading Commission, working on the implementation of Dodd-Frank. He has recently been detailed from the CFTC to the Committee on Financial Services in the U.S. House of Representatives, where he works with both the full committee and the Subcommittee on Capital Markets and GSEs, chaired by Rep. Garrett, on derivatives issues. Before that, Chris worked as a bond broker and on a fixed income derivatives desk.

Chris holds a bachelors degree from the University of Connecticut in Business Administration, where he majored in Finance and lettered for the Men's soccer team. He also has an M.B.A. from the University of Tampa, with a concentration in Macroeconomic Policy and Corporate Finance, and a law degree from the Florida State University College of Law, with experience as a structured finance, capital markets, and merger & acquisition attorney. He has international and national security law experience, which he gained through an LL.M. at Georgetown University, through international business transactions, and

by providing legal and policy advice to trade associations during international treaty negotiations in Geneva. He is licensed to practice in Texas and Florida.

24. Ron Levi

COO, GFI Group



Mr. Levi has been Chief Operating Officer of GFI Group since May 2006. He is responsible for the company's activities globally.

Since 2010, Mr. Levi has served as Chairman of the Board of Directors of Kyte Group Ltd, an integrated clearing, broking and investment services provider, a subsidiary of GFI Group. Mr. Levi joined GFI in 1993 and became Managing Director, Europe in 2004. Prior to holding this position, Mr. Levi managed the company's European energy business. In 2008, Mr. Levi led the acquisition of Trayport Ltd, a leading provider of software to the global commodity trading community. Mr. Levi is a founding member of LEBA (London Energy Brokers Association), created in 2003 to represent the interests of London-based energy brokers. Before joining GFI, Mr. Levi was the Director of Fixed Income at Garban PLC.

25. Walter Lukken

CEO, New York Portfolio Clearing and former CFTC Chairman



Walt Lukken was named CEO of New York Portfolio Clearing (NYPC) in May 2010. Prior to joining NYPC, Walt served as senior vice president in the global legal department of NYSE Euronext. He sits on the boards of NYSE Liffe US and FIA's Law and Compliance Division. Walt served as Acting Chairman of the Commodity Futures Trading Commission (CFTC) from June 2007 until January 2009 and CFTC Commissioner from August 2002 to July 2009. From 2003 to 2008, he served as chairman of the CFTC's Global Markets Advisory Committee—an industry-comprised body that advises the Commission on issues affecting cross-border regulation, trading and markets.

Prior to joining the CFTC, Walt served for five years as counsel on the professional staff of the U.S. Senate Agriculture Committee under Chairman Richard Lugar (R-IN), specializing in futures and derivatives markets and was prominently involved with the passage of the Commodity Futures Modernization Act of 2000. He received his B.S. degree with honors from the Kelley School of Business at Indiana University and his Juris Doctor degree from Lewis and Clark Law School in Portland, Oregon.

26. Michael Mackenzie

US Markets Editor, Financial Times



Michael Mackenzie is the US Markets Editor for the *Financial Times*. His beat includes capital markets, including interest rates and credit derivatives. Prior to joining the FT, Mackenzie covered capital markets for Dow Jones Newswires from 2000 to 2006, where he wrote the “Derivatives Diary” column beginning in 2005. During this time, he regularly appeared in the *Wall Street Journal*’s credit markets sections.

Previously, he was an interest rate swap options broker for Tullett & Tokyo in Japan, New York, Toronto, London and Hong Kong. He worked as a graduate assistant in 1991 for the Western Australia Royal Commission in Government and Business Corruption during the 1980s. In 2008, Mackenzie and the FT markets team won a Society of American Business Editors and Writers Award in the breaking news category for their coverage of the US Federal Reserve. Mackenzie received his Bachelors degree in economics from the University of Western Australia in 1991. He completed his CFA Level 1 in 1998.

27. Hon. Carolyn Maloney (D-NY14)



First elected to Congress in 1992, Carolyn B. Maloney is recognized as a national leader with extensive accomplishments on financial services, national security, the economy, and women’s issues. She is a senior member of both the House Financial Services Committee and the House Oversight and Government Reform Committee, Vice-Chair of the House Democrats' Steering and Policy Committee, and immediate past Chair of the Joint Economic Committee. She serves as Vice-Chair of the House Democratic Steering and Policy Committee.

On the House Financial Services Committee (and as a past chair of its Financial Institutions and Consumer Credit Subcommittee), she has worked to modernize financial services laws and regulations, strengthen consumer protections, and institute more vigilant oversight of the safety and soundness of our nation’s banking industry. Her Credit Cardholders’ Bill of Rights (the Credit CARD Act) was signed into law by President Obama in Spring of 2009. As a senior member of the House Oversight and Government Reform Committee, Maloney legislation has saved hundreds of millions in taxpayer dollars.

28. Gil Mandelzis

CEO, Traiana



Gil Mandelzis co-founded Traiana in April 2000 and continues as Chief Executive Officer following the acquisition of the company by ICAP in October 2007. In 2009, Gil was appointed to ICAP's Global Executive Management Group. Gil's role at Traiana is to consolidate the company's position as the market standard for post-trade processing for foreign exchange transactions, while expanding its offering in other OTC markets.

Prior to Traiana, he worked in the M&A Group at Deutsche Bank Alex Brown (formerly BT Wolfensohn) in New York, where he advised companies in the financial and technology sectors. Mr. Mandelzis holds a MBA from INSEAD.

29. Daniel Marcus

Strategy Director, Tradition



Daniel Marcus is the Managing Director of Strategy and Business Development for Tradition, one of the world's largest and most diverse inter-dealer brokers. In his role at Tradition, he is responsible for the development and implementation of strategic initiatives on a global basis, including ensuring that Tradition is fully compliant with regulatory reform. Most recently, he was responsible for the development and roll-out of Tradition's hybrid OTC trading platform Trad-X.

Daniel is a qualified lawyer and joined Tradition in 2007 as General Counsel. Prior to joining Tradition, he was Senior Counsel at the London Stock Exchange, where he was responsible for all regulatory, IT, corporate and commercial legal work. In particular he worked on the LSE's successful defenses against hostile bids from Euronext, Deutsche Bourse, Nasdaq and Macquarie, as well as the acquisitions of Proquote, EDX and Borsa Italiana. Daniel is Tradition's Council representative on the Wholesale Markets Brokers Association.

30. William McCoy

Managing Director and Counsel, Morgan Stanley



William F. McCoy is a Managing Director and Counsel in the Legal and Compliance Department of Morgan Stanley. He advises Morgan Stanley in connection with its futures, fixed income and commodities trading activities, including its sales and trading activities involving metals, oil liquids, electricity, coal, agricultural products, carbon and emissions. He also advises Morgan Stanley in connection with risk management issues.

William's previous positions included Vice President & Assistant General Counsel of Metallgesellschaft Corp. (1991-1993), Vice President & Counsel of Manufacturers Hanover Trust Company (1986-1991), and Attorney in the Enforcement & Compliance Division of The U.S. Office of the Comptroller of the Currency (1984-1986). His degrees include a J.D. in 1984 from Fordham University School of Law, and a B.S. in Economics in 1981 from the Wharton School of Business and Economics at The University of Pennsylvania.

31. Alex McDonald

Chief Executive, WMBA Europe



Prior to joining WMBA, Alex has spent his career in Banking and Fund Management. He has over twenty years of experience as a macro trader and portfolio manager, most recently he was a senior portfolio manager at BSAM Global Alpha Fund and prior to that a portfolio manager in global macro, at OLEA Capital Partners and at BlueCrest Capital focusing on commodities, fixed-income and currencies worldwide. Additionally, he was a Director, in charge of Emerging Markets and currency trading at CSFB for eight years, and prior to that he was Executive Director at Goldman Sachs on their fixed-income proprietary trading desk. He joined JP Morgan in 1988 and subsequently traded with and managed their futures, fixed income arbitrage and FX teams.

Alex holds an MA from Cambridge University in Geophysics/Geochemistry.

32. Kevin McPartland

Senior Analyst, Tabb Group



Kevin McPartland, a principal and the director of fixed income research at TABB Group, has over 10 years of capital markets industry experience with deep expertise in OTC derivatives and financial services technology.

Kevin joined TABB in September 2007 from Detica (formerly m.a.partners), where he was a senior manager in the global financial markets division, responsible for strategic and implementation projects across fixed income, OTC derivatives and equities for the firm's investment banking clients including Deutsche Bank, Royal Bank of Canada and UBS. Prior to joining Detica, he held positions at JPMorgan Chase in both futures and options and equities, managing development and implementation of electronic trading systems, as well as project management and business analysis of major business and technology initiatives, strategic architecture design and business process definition. Kevin has authored numerous TABB research reports on the OTC derivatives markets. An often requested speaker at a wide range of industry conferences, he has been quoted extensively in

many leading news outlets. Kevin has also provided testimony in 2011 to the US Senate's Banking Committee (June) and the US Commodities and Futures Trading Commission (CFTC) (March).

33. Richard M. McVey

Chairman and CEO, MarketAxess



Richard M. McVey has been Chief Executive Officer and Chairman of the Board of Directors since the inception of MarketAxess. As an employee of J.P. Morgan & Co., one of the firm's founding broker-dealers, Mr. McVey was instrumental in the founding of MarketAxess in April 2000.

Prior to founding MarketAxess, Mr. McVey was Managing Director and Head of North America Fixed Income Sales at JPMorgan, where he managed the institutional distribution of fixed-income securities to investors, from 1996 until April 2000. In that capacity, he was responsible for developing and maintaining senior client relationships across all market areas, including fixed-income, equities, emerging markets, foreign exchange and derivatives. From 1992 to 1996, Mr. McVey led JPMorgan's North America Futures and Options Business, including institutional brokerage, research, operations, finance and compliance. He currently serves on the Board of Directors of Blue Mountain Credit Alternatives L.P. Mr. McVey received a B.A. in Finance from Miami (Ohio) University and an M.B.A. from Indiana University.

34. Stephen Merkel

Executive Vice President, BGC Partners, Inc.



Stephen Merkel is Executive Vice President, General Counsel and Secretary of BGC Partners, Inc. He originally joined Cantor Fitzgerald in 1993, overseeing all legal and compliance functions for the firm.

Prior to its merger with BGC, Mr. Merkel was also a Director of eSpeed, and before this was the Senior Vice President, General Counsel and Secretary of eSpeed from June 1999. Prior to joining Cantor Fitzgerald, Mr. Merkel was Vice President and Assistant General Counsel at Goldman Sachs & Co., dedicated to the J. Aron Division. He began his career at Paul, Weiss, Rifkind, Wharton and Garrison. Mr. Merkel graduated with a B.A. Magna Cum Laude from the University of Pennsylvania and received his law degree from the University of Michigan School of Law.

35. Leonard Nuara

President of TeraDirect/TeraExchange

36. Lee Olesky

CEO and Co-founder, Tradeweb



Lee Olesky is the CEO and co-founder of Tradeweb. He has an extensive background in managing both investment banking and financial technology companies, in a career highlighted by innovation and the successful introduction of a series of electronic trading companies. Olesky is playing a key role in the debate on the reform of the derivatives markets, providing government agencies with insight into the issues surrounding the move to electronic trading and central clearing.

In his previous role as President of the company, Olesky was based in London, where he spearheaded the company's expansion in the international and derivative markets. Prior to joining Tradeweb, Olesky was the President and founder of BrokerTec, a successful electronic brokerage platform, which was sold to ICAP in 2003. Previously, Olesky worked at Credit Suisse First Boston in a variety of management positions, most recently as Chief Operating Officer for the Fixed Income division. Olesky has a Juris Doctorate from George Washington University, the National Law Center in Washington D.C.; a B.A. in History from Tulane University; and attended University College in London. Olesky is based in New York City with his wife and three sons.

37. Hon. Scott O'Malia

Commissioner, U.S. Commodity Futures Trading Commission



Scott O'Malia was confirmed by the U.S. Senate on October 8, 2009, as Commissioner of the Commodity Futures Trading Commission, and was sworn in on October 16, 2009. He is currently serving a five-year term that expires in April 2015.

Born in South Bend Indiana and raised in Williamston, Michigan, Commissioner O'Malia learned about commodity prices firsthand growing up on a small family farm. As a Commissioner of the Commodity Futures Trading Commission (CFTC), he brings both his agricultural background and experience in energy markets, where he has focused his professional career. From 2003 to 2004, Commissioner O'Malia served on the U.S. Senate Energy and National Resources Committee under Chairman Pete Domenici (R-N.M.), as Senior Policy Advisor on oil, coal and gas issues. From 1992 to 2001, he served as Senior Legislative Assistant to U.S. Sen. Mitch McConnell (R.-Ky.), now the Senate Minority Leader. During his career, O'Malia also founded the Washington office of Mirant Corp. Commissioner O'Malia earned his Bachelor's Degree from the University of Michigan. He and

his wife, Marissa, currently live in Northern Virginia with their three daughters.

38. Ross Pazzol

Partner, KattenMuchinRosenman



Ross Pazzol focuses his practice on a broad range of financial services matters. He concentrates on, and has significant experience in, the regulation of broker-dealers, futures commission merchants, investment companies and hedge funds, clearing houses and investment advisers. He also has significant experience in all aspects of exchange-traded and over-the-counter derivatives transactions and securities financing and lending arrangements, and assists clients in understanding the documentation issues and regulatory and credit considerations that arise in connection with these transactions.

Mr. Pazzol is a member of the Futures Industry Association Law & Compliance Division and serves on a number of ISDA Working Group committees. Mr. Pazzol began his career in the U.S. Securities and Exchange Commission's Division of Market Regulation. Mr. Pazzol earned his Bachelor of Science from the University of Illinois, Urbana-Champaign in 1982, where he was a member of Phi Beta Kappa. He received his Juris Doctor from Loyola University of Chicago School of Law in 1987, where he served as the articles editor for the Loyola Law Review. He is admitted to practice in Illinois.

39. Robert Pickel

Executive Director and CEO, International Swaps and Derivatives Association



Robert G. Pickel is Executive Vice Chairman of the International Swaps and Derivatives Association, Inc. Mr. Pickel served as Executive Director and Chief Executive Officer of ISDA from January 2001 to November 2009. From 1997 to 2001 he held the position of General Counsel of ISDA.

Prior to joining ISDA, Mr. Pickel was Assistant General Counsel in the Legal Department of Amerada Hess Corporation, an international oil and gas company, from 1991 to 1997. He has also worked at the law firm of Cravath, Swaine & Moore in New York and London, where he represented ISDA in a variety of matters. Mr. Pickel serves as a member of The Bretton Woods Committee and a member of the Board of the Capital Markets Law Journal. Mr. Pickel graduated from Williams College and received his law degree from New York University.

40. Jess Sharp

Executive Director, US Chamber of Commerce



Jess Sharp is executive director of the U.S. Chamber Center for Capital Markets Competitiveness (CCMC). Sharp oversees the organization's efforts to support capital markets that are the most fair, efficient, and innovative in the world, with a particular focus on derivatives regulation, GSE reform, and consumer financial protection.

Before joining the Chamber in January 2011, Sharp spent two years running a public policy consulting business as a sole proprietor, providing policy and political analyses and helping clients navigate the regulatory and legislative processes. Sharp served on President George W. Bush's Domestic Policy Council, first as a specialist in transportation and labor policy issues and then as deputy assistant to the president for domestic policy. Earlier, Sharp served on the House Transportation and Infrastructure Committee staff where he formulated policy and plotted legislative strategy, specializing in highway finance, highway safety, and railroad issues. Sharp has a B.A. in history from Colgate University and an M.A. in government from Johns Hopkins University. He and his wife, Meredith, live in Washington, DC.

41. Jeff Siegel

Senior Counsel, US Senate Committee on Banking, Housing, and Urban Affairs



Jeff Siegel serves as Senior Counsel for the Democratic Staff of the Senate Committee on Banking, Housing, and Urban Affairs, where he is responsible for advising Senate Banking Committee Chairman Tim Johnson (D-SD) about implementation of the new derivatives framework and the Volcker Rule under the Dodd-Frank Act. Prior to working on the staff of the Senate Banking Committee, he served as Legislative Director and Counsel for former Congressman Michael E. McMahon (D-NY) where he helped draft a comprehensive bill to regulate derivatives, and was the primary staff counsel in efforts by moderate House Members to negotiate key provisions of the derivatives title of Dodd-Frank.

Prior to joining Congressman McMahon's office, he worked for more than five years as a law firm associate at Willkie Farr & Gallagher LLP in New York City where he handled a wide range of matters involving insurance, bankruptcy, antitrust, RICO, white-collar crime, ERISA, and municipal contract actions, as well as US Department of Justice and US Securities and Exchange Commission investigations. He holds a JD from Cornell Law School, and a BA cum laude from Princeton University.

42. Sonali Das Theisen

Director, Barclays Capital



Sonali Das Theisen is a Director in Credit Trading at Barclays Capital, based in New York.

Ms Theisen joined Barclays Capital in 2010 from Bloomberg LP, where she was the Global CDS Business Manager. Prior to that, she worked at Bear Stearns as a Credit Derivatives salesperson and at Goldman Sachs as a Credit Derivatives Trader and Marketer. Ms Theisen graduated cum laude in Economics and Spanish from Harvard University.

43. William Thum

Principal, The Vanguard Group



William C. Thum is a Principal of The Vanguard Group in Valley Forge, PA, where he is the senior derivatives transactional and regulatory specialist in the Legal Department.

Mr. Thum is a frequent speaker at ISDA, SIFMA and various other conferences on derivatives related matters, has written numerous articles on derivatives issues and has participated in several joint CFTC / SEC public roundtables on Dodd-Frank Act-related rulemaking. Prior to joining Vanguard in 2010, Mr. Thum was a partner with Fried, Frank, Harris, Shriver & Jacobson LLP. From 1998 to 2007, Mr. Thum was executive director and head of institutional securities documentation - Americas at Morgan Stanley. From 1996 to 1998, Mr. Thum was vice president and head of derivatives documentation - Americas at UBS. He also worked at BNP Paribas as legal counsel and at Dresdner Kleinwort in London as assistant director, legal counsel. Mr. Thum received his JD from The American University, Washington College of Law and his BA from Bucknell University. He is admitted to the bar in New York and Pennsylvania.

44. Mark Vonderheide

Managing Partner, Geneva Energy Markets



Mark Vonderheide began his career in oil with Exxon in Houston in 1982. After graduating from Wharton Business School in 1986, he joined Shell Oil as staff to the executive vice president and began work on the oil trading desk in 1988. He has since traded for Vitol, Morgan Stanley, and Hetco. Until 2007, he was Global Head of Oil Trading for Deutsche Bank.

Four years ago, he co-founded Geneva Energy Markets, LLC (GEM) with Geneva Trading, a futures trading company based in Chicago. GEM is a proprietary oil trading company that makes markets in over-

the-counter swaps and block futures. The firm has offices in New York and Dublin. As the one of the industry's highest volume traders of oil swaps, GEM was a major catalyst in bringing the great majority of oil swap transactions into a cleared environment. The firm actively trades Brent, WTI, gasoil, heating oil, and gasoline.

45. Duncan Wales

Group General Counsel, ICAP



Duncan Wales is group general counsel, and responsible for the global legal function since December 2008. He has occupied a number of senior roles within the legal and compliance departments at ICAP, including director of government affairs. Prior to its acquisition by ICAP in 2003, he was director of legal affairs at BrokerTec. As well as having experience in investment banking, Duncan spent five years at Clifford Chance as a derivatives and OTC markets specialist. He is a member of the GC 100 Group.

46. Tomas Zikas

Managing Director, New Business Development, Currenex Inc.



Tomas Zikas is Managing Director and Head of the eRates business within State Street Global Markets, a position he assumed after State Street's acquisition of Currenex in March 2007. In this role, Mr Zikas is responsible for the provision of neutral execution services for the fixed income and derivative markets, operating platforms such as GovEx (for cash treasury securities), SwapEx (for interest rate derivatives), and Fund Connect (for third party money market and investment funds).

Before joining State Street, Zikas was hired at Currenex as the Managing Director of business development. Prior to that, Zikas spent four years as Global Head of Sales at Trayport Ltd, where he provided matching engine and front-end technology for real-time, continuous trading across multiple asset classes in Europe, the Americas and Asia with a principal focus on commodity trading. Mr Zikas earned his Bachelor's Degree from the United States Naval Academy, Annapolis, and served in the US Navy as an officer onboard the nuclear submarine USS Archerfish (SSN-678) and also as a U.S. representative on the NATO staff in the United Kingdom. Mr Zikas also received a Master's Degree from Boston University.